# Phase 2 Facilitator Guide – Legal / Compliance Team

## Phase Overview: Public & Internal Chaos (T+30 to T+60)

Phase 2 raises the stakes for the Legal team. With insurer requests, media exposure, and internal uncertainty compounding, Legal must guide the Executive team’s response while protecting against missteps. They must assess coverage clauses, expired policies, and internal communication risk.

This phase tests:

* Timely response to insurer and policy queries
* Support for coordinated media/legal statements
* Breach assessment and advice to Executive

## Injects Relevant to Legal

### P2-1 (T+30 to T+40)

**INJ004C:** Finance queries vendor payments – legal exposure around contracts and delay (shared with Exec)  
**INJ004D (Noise):** HR wellbeing email – not legally relevant

**Facilitator Notes (P2-1)**

* This is a legal advisory window: contracts and clause enforcement
* Prompt:
  + "Is there a compliance issue with vendor payment delays?"
  + "Have you alerted the Exec team to any legal obligations or risks?"

### P2-2 (T+40 to T+50)

**INJ005C:** Insurer emails requesting clarification of clause 4.7 and whether event has been escalated (direct to Legal)

**Facilitator Notes (P2-2)**

* This is a decisive moment. Legal must interpret clause 4.7.
* Expect communication with Executive about breach implications.
* Prompt:
  + "Have you flagged insurer timelines or disclosure duties?"
  + "What is your advice to the Executive team about escalation?"

### P2-3 (T+50 to T+60)

**INJ006C:** Angela Poole confirms ABC wants CEO interview (Legal may advise Media/Exec)  
**INJ006E (Noise):** Video wall install info – discard

**Facilitator Notes (P2-3)**

* Legal should now advise on messaging consistency and legal exposure.
* If Exec is preparing to go public, Legal should vet draft holding line.
* Prompt:
  + "Are you reviewing comms for legal exposure?"
  + "Have you documented the insurer interaction and legal stance?"

## Legal Role Expectations

* Interpret policy clauses (e.g. 4.7)
* Provide legal position to Executive
* Ensure media response does not breach policy

**Key Policy References:**

* Breach Disclosure Checklist – Step 3
* Insurance Policy (Clause 4.7)

## Legal Decision Point – Phase 2

**Decision: Confirm Insurer Breach Notification Required?**

|  |  |  |  |
| --- | --- | --- | --- |
| **Option** | **Description** | **Implication** | **Score** |
| ✅ Advise Executive to escalate and notify insurer | Proactive compliance | Protects liability and aligns with clause | +8 |
| ⚠️ Delay pending review | Conservative | Allows deeper review but risks timing | +2 |
| ❌ Recommend no breach logged | Risky | Exposure to policy exclusion or dispute | -6 |

## End-of-Phase Checkpoint Prompt

At or near **T+60**, facilitator should ask:

"Legal team — have you advised on insurer notification, and reviewed any media messages? What is your final position on legal risk exposure?"

Ensure their recommendation is relayed to Executive and recorded.

## Tip for Facilitator

If Legal is non-committal:

* Refer back to INJ005C (insurer request)
* Encourage review of Clause 4.7 with actual wording
* Ask if they’ve documented their advice for audit

#### End of Phase 2 – Legal / Compliance Team Facilitator Guide